

Confidential Personal Profile

Section 1: Personal Information

Today's Date _____

Contact Information

Name _____

Address _____

City _____ State _____ Zip _____

Tel _____

Email _____

Marital Status ____ Date of Birth _____

Family

Name	Relationship	Date of Birth

Section 2: Income

Employment

	Employer's Name	Occupation	Salary + bonus	Retirement Date
Self				
Spouse				

- List all employer retirement plan income, if any (Monthly) \$ _____
- List your current or expected Social Security benefit, if any
 - Self (Monthly) \$ _____
 - Spouse (Monthly) \$ _____

Other Sources

Annual estimated income

Section 3: Investment Goals and Concerns

Please list your goals and concerns such as:

- Having enough money to live comfortably in retirement
- Sending a child or grandchild to college in x-years.
- Efficiently passing on an estate to family, friends, or charity.

Section 4: Current Investments and Savings

Please list your current investment accounts and, if possible, send copies of your most recent statements in PDF form. Continue on page 4 if needed.

	Account	Type	Owner	Balance
Ex	Vanguard	IRA	Self	205,000

1				
2				
3				
4				
5				
6				
7				
8				
9				
10				
11				
12				

Other assets (house, business, rental property, etc.)

Asset Description	Value

Section 5: Expenses and Liabilities

Please list your annual living expenses before tax and any large debt.

Description	Estimated Amount

Section 6: Estate Planning

	Self	Spouse
Do you have a will?	<input type="checkbox"/>	<input type="checkbox"/>
Do you have a trust?	<input type="checkbox"/>	<input type="checkbox"/>

Section 7: Other

In the space below, please provide any other information you believe would provide any further information to assist in discussing your investment plan.